

Substitute for form 1449A/B/PTO				<b>Complete If Known</b>	
				Application Number	10/811,960
				Filing Date	March 30, 2004
				First Named Inventor	Atul PURI
				Art Unit	2631
				Examiner Name	Unassigned
Sheet	1	of	2	Attorney Docket Number	13316/3294

<b>U.S. PATENT DOCUMENTS</b>					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)	MM-DD-YYYY		

<b>FOREIGN PATENT DOCUMENTS</b>					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		

<b>NON PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T <sup>6</sup>
/A.H./	1	ZHIHAI HE, Y.K. KIM, and S.K. MITRA, "Low-delay rate control for DCT video coding via $\rho$ -domain source modeling," IEEE Trans. on Circuits and Systems for Video Technology, Aug. 2001, vol. 11, no. 8			
	2	ZHIHAI HE and S.K. MITRA, "Optimum bit allocation and accurate rate control for video coding via $\rho$ -domain source modeling," IEEE Trans. on Circuits and Systems for Video Technology, Oct. 2002, pp. 840-849, vol. 12, no. 10			
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	6	I-MING PAO and MING-TING SUN, "Encoding stored video for streaming applications," IEEE Trans. on Circuits and Systems for Video Technology, Feb. 2001, pp. 199-209, vol. 11, no. 2			
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Examiner Signature	/Anner Holder/	Date Considered	02/19/2008
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				Art Unit	2631
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Sheet	2	of	2	Attorney Docket Number	13316/3294

/A.H./	11	LILLA BOROCZKY, A.Y. NGAI, and E.F. WESTERMAN, "Joint rate-control with look-ahead for multi-program video coding," IEEE Trans. on Circuits and Systems for Video Technology, Oct. 2000, pp. 1159-1163, vol. 10, no. 7	
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	15	ASHISH JAGMOHAN and K. RATAKONDA, "MPEG-4 one-pass VBR rate control for digital storage," IEEE Trans. on Circuits and Systems for Video Technology, May 2003, pp. 447-452, vol. 13, no. 5	
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	17	JOSE I. RONDA, F. JAUREGUIZAR, and N. GARCIA, "Rate control and bit allocation for MPEG-4," IEEE Trans. on Circuits and Systems for Video Technology, Dec. 1999, pp. 1243-1258, vol. 9, no. 8	
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PTO/SB/08A (07-05)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
Sheet	1	of	1	Application Number	10/811,960
				Filing Date	March 30, 2004
				First Named Inventor	Atul PURI, et al..
				Art Unit	2621
				Examiner Name	Tung T Vo
				Attorney Docket Number	13316-3294

## **U.S. PATENT DOCUMENTS**

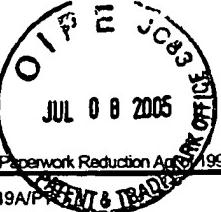
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 10

## Complete if Known

Application Number	10/811,960
Filing Date	03/30/2004
First Named Inventor	PURI, Atul
Art Unit	2631
Examiner Name	to be assigned
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	3	US- 4,394,680	07/19/1983	Watanabe	
	4	US- 4,717,956	01/05/1988	Moorhead et al.	
	5	US- 4,920,414	04/24/1990	Remus et al.	
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 2 of 10

**Complete if Known**

<i>Application Number</i>	10/811,960
<i>Filing Date</i>	03/30/2004
<i>First Named Inventor</i>	PURI, Atul
<i>Art Unit</i>	2631
<i>Examiner Name</i>	to be assigned
<i>Attorney Docket Number</i>	13316/3294

**U.S. PATENT DOCUMENTS**

Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
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				<i>Art Unit</i>	2631
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				<i>Application Number</i>	10/811,960
				<i>Filing Date</i>	03/30/2004
				<i>First Named Inventor</i>	PURI, Atul
				<i>Art Unit</i>	2631
				<i>Examiner Name</i>	to be assigned
Sheet   5		of   10	Attorney Docket Number   13316/3294		

NON PATENT LITERATURE DOCUMENTS		
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
/A.H./	100	CHEN, T., MPEG4 Video Verification Model VM 5.0, pages 2-192
	101	FUKINUKI, T.; "Measurement of Movement and Velocity of Moving Objects with Picture Signals"; IE78-67; pages 35-41; Central Research Laboratory; Hitachi, Ltd.; Kokubunji, Tokyo, Japan, 185; and English translation
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				<i>Application Number</i>	10/811,960
				<i>Filing Date</i>	03/30/2004
				<i>First Named Inventor</i>	PURI, Atul
				<i>Art Unit</i>	2631
				<i>Examiner Name</i>	to be assigned
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Sheet	6	of	10		

NON PATENT LITERATURE DOCUMENTS					
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/A.H./	112	KOK, C. W. et al.: "Image Coding Using DCT of Wavelet Coefficients," Proceedings of the IEEE-SP International Symposium Time-Frequency and Time-Scale Analysis (CT. No. 92TH0478-8), pp. 475-478, XP002115625 1992, NY, NY, IEEE, USA ISBN: 0-7803-0805, Victoria, BC, Canada, 4-6 Oct. 1992			
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Sheet 7 of 10

**Complete if Known**

Application Number	10/811,960
Filing Date	03/30/2004
First Named Inventor	PURI, Atul
Art Unit	2631
Examiner Name	to be assigned

Attorney Docket Number 13316/3294

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/A.H./	121	PURI, Atul, "Status and Direction of the MPEG-4 Standard," AT&T Bell Labs, Holmdel, NJ, Multimedia Communications and Video Coding, Wang et al., Editors, pages 349-356, Plenum Press, New York, 1996
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(Use as many sheets as necessary)				Filing Date	03/30/2004
				First Named Inventor	PURI, Atul
				Art Unit	2631
				Examiner Name	to be assigned
Sheet	8	of	10	Attorney Docket Number	13316/3294

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/A.H./	130	"The Virtual Reality Modeling Language Specification," Version 2.0, ISO-IEC CD 14772, August 4, 1996
	131	AD HOC GROUP ON MPEG-4 VIDEO VM EDITING, "MPEG-4 VIDEO VERIFICATION MODEL VERSION 3.2," INTERNATIONAL ORGANISATION FOR STANDARDISATION, ISO/IEC JTC1/SC29/WG11, Coding of Moving Pictures and Associated Audio Information, Chicago, September 1996
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Sheet 9 of 10

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<i>Filing Date</i>	03/30/2004
<i>First Named Inventor</i>	PURI, Atul
<i>Art Unit</i>	2631
<i>Examiner Name</i>	to be assigned

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	141	PURI <i>et al.</i> , "Improvements in DCT Based Video Coding," AT&T Laboratories, Visual Communications and Image Processing '97, San Jose, California, SPIE - The International Society for Optical Engineering, Bellingham, Washington, February, 1997
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	144	PURI <i>et al.</i> , "Current and Future Trends in Multimedia Standards," Multimedia Technology for Applications, Sheu <i>et al.</i> , Editors, Chapter 1, pages 3-35, IEEE Press Marketing, Piscataway, NJ, 1998
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		Application Number		10/811,960	
		Filing Date		03/30/2004	
		First Named Inventor		PURI, Atul	
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	151	PURI, A. and TEKALP, A. M., "MPEG-4 and MPEG-7 Standards," Electronic Imaging, Course Notes, San Jose, January 1999	
	152	MPEG-4 SYSTEMS GROUP, N2739, "Text for ISO/IEC 14496-1/PDAM1," ISO/IEC JTC1/SC29/WG11, March 1999	
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of

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**Complete If Known**

<i>Application Number</i>	10/811,960
<i>Filing Date</i>	March 30, 2004
<i>First Named Inventor</i>	PURI
<i>Art Unit</i>	2631
<i>Examiner Name</i>	Not assigned

Attorney Docket Number 2777/3294

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
/A.H./		J. Lee and B.W. Dickinson, "Scene-adaptive motion interpolation structures based on temporal masking in human visual perception," in <i>Proc. SPIE Conference on Visual Comm. and Image Processing</i> , Cambridge, 1993, pp. 499-510.	
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		U. Gargi, R. Kasturi, and S.H. Strayer, "Performance characterization of video shot change detection methods," <i>IEEE Transactions on Circuits and Systems for Video Technology</i> , vol. 10, no. 1, pp. 1-13, February 2000.	
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				<i>Filing Date</i>	March 30, 2004
				<i>First Named Inventor</i>	PURI
				<i>Art Unit</i>	2631
				<i>Examiner Name</i>	Not assigned
(Use as many sheets as necessary)				<i>Attorney Docket Number</i>	2777/3294
Sheet	2	of	2		

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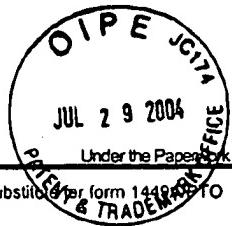
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Sheet 1 of 1

Complete if Known	
Application Number	10/811,960
Filing Date	March 30, 2004
First Named Inventor	Atul PURI
Art Unit	2631
Examiner Name	To be assigned
Attorney Docket Number	02777/3294

## **U.S. PATENT DOCUMENTS**

## FOREIGN PATENT DOCUMENTS

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/A.H./		Siwei Ma et al., "Rate Control for Advance Video Coding (AVC) Standard," <i>Proceedings of the 2003 IEEE International Symposium on Circuits and Systems</i> , Volume II of V, 2003, pp. 892-5.	
/A.H./		Arian Koster, "Coded Representation of Picture and Audio Information," International Organisation for Standardisation, Telecommunication Standardization Sector, Study Group 15, Experts Group for ATM Video Coding (Rapporteur's Group on Part of Q.2/15), Document AVC-491, Version 1, April 1993, 126 pp.	

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